

### Tamil Nadu Open University தமிழ்நாடு திறந்தநிலை பல்கலைக்கழகம்

[A State Open University established by the Tamil Nadu Government, Recognised by UGC-DEB, Member in Asian Association of Open Universities and Association of Commonwealth Universities, Accredited by NAAC A+ Grade & CGPA 3.32]

> No 577, Anna Salai, Saidapet,Chennai - 600 015. Tamilnadu

### **TNOU RESEARCH REGULATIONS(Ph.D) - 2024**

A Revised Ph.D. Regulations bases on University Grants Commission( Minimum Standards and Procedure for Award of Ph.D., Degrees) reulations 2016, and new UGC regulations dated 14.11.2022

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#### Prof. S. Arumugam, Vice Chancellor

Dear Researchers,

Greetings! I am with great pleasure to present you this revised and common TNOU Research Regulations - 2024 which addresses the needs of both the Research Supervisors and the Research Scholars of Tamil Nadu Open University. This revised regulation supersedes the existing TNOU Ph.D. Regulations-2021 that was designed by adopting the UGC (Minimum Standards and Procedure for Award of M.Phil. /Ph.D. Degree) Regulations, 2016 and its subsequent amendments.

The foremost tenet of this TNOU Research Regulations- 2024 is to show the right way from admission to award of degree to those, who are dealing with research work in the University. It includes all the proceedings of a research work leading to Doctor of Philosophy (Ph.D.,),. This present over hauled Regulations is in conjunction with more clarity, upright directions, and adoption of latest UGC's research regulations. It also sheds light on preparation of rank list for selection, reregistration, responsibilities of Co-Supervisor, implementation of mandatory Course work on Research and Publication Ethics, constitution of Research Advisory Committee and earmarking its functions and refurbished guidelines for Examiners, who are going to be involved in evaluation of thesis.

In this context, Immensely appreciate the Expert Committee on Revision of Research Regulations, comprising Dr.S.Umamaheswari, Controller of Examinations, Prof.S.Subramanian, Prof.S.Balasubramanian, Dr.T.Ravimanickam, Prof. R.Jaganathan, Prof.S.Rajasekar, University of Madras, Dr.Jayavel, Anna University, Bharathidasan University, Prof.S.Mani, Tamil Nadu Teacher Education University and Dr. D. Sumathi, TNOU, Research Coordinator for having significantly contributed for bringing out it on time.

The French philosopher Rene Des cart says, "Doubt is the origin of wisdom". So, the researchers should develop themselves the spirit of enquiry, scientific temper and humanism that are the most essential tenets to unearth the hidden objects or concepts. Let's have rational enquiry in our research!

#### Vice Chancellor

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#### DEFINITIONS AND NOMENCLATURE

In the Regulations, unless the context otherwise requires,

- "University" means Tamil Nadu Open University, Chennai 600 015.
- "Research Council" means the Council duly constituted by the Vice-Chancellor of the University to oversee the academic research activities of the University.
- "Programme" means Doctoral Programme leading to the award of Ph.D. in Language/ Arts/Science /Humanities /Education/Management/History, etc.
- "Chairperson" means Head of the Faculty.
- "Supervisor" means any faculty member of the University who has been recognized by the University to guide the research scholars.
- "Co-Supervisor" means a recognized Supervisor to guide the scholars in interdisciplinary research that require more than one expert.
- "Head of the Department" means Head of the Department of the Supervisor.
- "Place of research" for the scholars shall be the School /Department where the Supervisor is working.
- "Research Advisory Committee" means a committee constituted by the University for each scholar to monitor the progress of his/her research work.
- "Scholar" means any candidate admitted by the University eit her under Full-time or Parttime category for pursuing research for the award of Ph.D. degree of the University.
- "Specialization" means the discipline of the Post Graduate Degree Programme.
- "Course work" means a theory subject of PG programme that is prescribed by the Research Advisory Committee for the scholar to undergo as a part of the programme requirement.
- "Publication" means full length research articles reporting new research findings in respective fields comprise of presentations on new concepts, the development of innovative methods that include figures, tables and references; the results of which have a general impact and contribute to the advancement of the particular field and are always peer reviewed.







#### 1. INTRODUCTION

Tamil Nadu Open University (TNOU) was established by an Act No. 27 of the Legislative Assembly of the Government of Tamil Nadu in 2002. As per this Act, the University with its Headquarters at Chennai may carry out its academic activities. The TNOU was recognized under 2f and accorded with 12-B status by the University Grants Commission (UGC), Government of India and also accorded permission to offer both Ph.D. and M.Phil. [both Full-time and Part-time] under regular mode only vide UGC approval letter F.No. 2-1/2017 (DEB-III/DEB-IV) dated May 2017.

Ph.D. Degree [Both Full-time and Part-time] are approved by the Govt of Tamil Nadu for employment purpose vides G.O. No.355, K2, Higher Education Dept. dated 12-12-2017.

#### 2. PREAMBLE

Tamil Nadu Open University Research Regulations (Ph.D., and PDF Degree) 2024 are framed in accordance with the UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022" as notified in the Gazette of India [No. 544, Part III Section 4] Extra Ordinary on November 7th, 2022, as well as the Government of Tamil Nadu Research (Education) Policy. The Degree of Doctor of Philosophy (Ph.D.) shall be awarded to a scholar as per the regulations of the Tamil Nadu Open University set out hereunder, has submitted a thesis based on original and independent research in any specific discipline or inter-disciplinary, in recognition of its scholarly achievements, contributed to the academic knowledge and which is approved by a duly constituted Board of Examiners.

#### 3. ELIGIBILITY FOR PH.D. PROGRAMME

3.1 The candidates should have passed a post-graduate degree course either with SSLC + HSC + UG + PG (i.e., 10 + 2 + 3 + 2) or with 11-years SSLC + PUC + UG + PG (i.e., 11 + 1 + 3 + 2) or with 10-years SSLC + 3 years Diploma + 2 years UG degree + 2 years PG (i.e., 10 + 3 + 2 + 2) or with 10 years SSLC + HSC + 4 years UG degree and 1 year PG degree (i.e., 10 + 2 + 4 + 1). Thus, only the candidates possessing a PG degree with 17 years of total duration of study alone are eligible for admission to the Ph. D., programme.

3.2 Candidates for admission to the Ph. D. programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the statutory regulatory body of Tamil Nadu Open University, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed).





3.3. A relaxation of 5% in marks or an equivalent relaxation in grade may be allowed for candidates belonging to SC/ST/OBC (non-creamy layer), Differently Abled, and other specified categories, as per TNOU's decisions based on orders from the UGC and the Government of Tamil Nadu. The eligibility marks of 55% (or an equivalent grade on a point scale, wherever grading systems are followed) and the 5% relaxation for the mentioned categories are permitted based solely on the qualifying exact marks, excluding any grace marks. Applicants/ Candidates must provide necessary documents to support their claims.

3.4. Candidates who have cleared the M.Phil. coursework with at least 55% marks in aggregate or an equivalent grade of 'B' on the UGC 7-point scale (or an equivalent grade on a point scale wherever grading systems are used) and have successfully completed the M.Phil. degree shall be eligible to proceed with research work leading to the Ph.D. degree in the same institution through an integrated programme. A relaxation of 5% in marks or an equivalent grade may be allowed for candidates belonging to SC/ST/OBC (Non-creamy layer), Differently-Abled, Economically Weaker Sections (EWS), and other categories, as per the UGC's decisions from time to time

3.5. If the applicants have completed their Master's Degree under a grading system, they must provide equivalent marks or classification from their University. This information should be submitted with the application.

3.6. The conditions for admission prescribed under the regulations for the Ph.D. programme must be strictly followed. All candidates should have completed their PG Degree course in accordance with UGC, AICTE, NCTE, or any other regulating authority's regulations.

3.7. Scientists / Research Fellows / Research Assistants / Technical Assistants / Project Assistants / Project Fellows / Research Associates appointed in the research projects funded by recognized agencies/government/industries shall register as a full-time/part-time scholar to pursue research under a recognized supervisor in the University as per the prescribed discipline.

3.8. Candidates possessing a post-graduate degree in a discipline or its equivalent degree or an M. Phil. degree from an Indian Institution or a post-graduate degree from a Foreign Educational Institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission



to Ph.D. programme of Tamil Nadu Open University. Candidates must provide all relevant documents as required by the University. They should 4 have a valid visa for their stay period. The University is not responsible for obtaining, extending, or changing visas, or for normal residence matters. Such candidates are governed by the rules and regulations of the Government of India.

3.9. Registration for the Ph.D. programme shall be categorized into two types: full-time and part-time (with or without stipend/fellowship). This applies to the various categories of candidates as outlined below:

a) Any candidate meeting the minimum qualifications prescribed by the University Grants Commission (UGC) may register as a full-time or part- time scholar to pursue research under a recognized supervisor in the University School/Department approved by the University.

b) Candidates (other than teachers) who are permanent employees, including Directors of Boards, Proprietors, and Partners in Government Organizations, Private or Public Limited Companies, Recognized Factories, Institutions, Research Institutions, or Educational Institutions, and who have at least two years of experience after obtaining their Master's degree with a minimum of 55% marks, may register as part-time scholars. They can pursue research in the relevant discipline under a recognized research supervisor in a University School/Department approved by the University.

c) Any candidate working as a guest lecturer in a Government College, or a Government Aided College in Tamil Nadu may register, provided they submit a 'No Objection Certificate (NOC)' from their employer.

d) A candidate already holding a Ph.D. degree in a discipline / subject specialization is eligible to register for pursuing Ph.D. degree in another discipline / subject specialization subject to the fulfilment of the conditions stipulated for admission/registration in the present regulations.

e) Transfer Certificate need not be insisted for admission to part-time Ph.D. programmes.

f) The medium of language for the candidates registering for the Ph.D. programme in Tamil and Cultural Studies is Tamil, only if they should have studied UG/PG degree courses with the medium of instruction in Tamil, and for other candidates, the medium is English.





#### 4. CRITERIA FOR ADMISSION IN DIFFERENT MODES OF PH.D.

*The University offers two modes of Ph.D. programmes:* Ph.D. Full-Time and Ph.D. Part-Time. Candidates may apply for admission to the Ph.D. Degree Programme in one of these two modes.

#### 4.1. Full Time

4.1.1 A candidate registered as a full-time scholar for the Ph.D. programme must meet the qualifications mentioned in point 3 above and must work continuously in the School /Department under the research supervisor for a minimum research period, including coursework, from the date of registration until submitting the thesis.

4.1.2 Research fellows/Research assistants/Project assistants appointed in the research projects funded by recognized funding agencies /government, operated in the School / Departments of this University are also eligible to register for Ph.D. on full-time basis in the same School /Department provided they satisfy the eligibility criteria laid down in point 3 of this regulation.

4.1.3 Candidates who are selected at National level Fellowship programmes or by any recognized bodies and who satisfy the eligibility conditions as per the regulations shall apply for Full-time category in the respective Specialization.

4.1.4 A candidate admitted as a full-time scholar shall not be employed in any institution and must dedicate the prescribed time solely to research in the school to which they are admitted. The candidate must submit an undertaking stating that they are not employed elsewhere. If the candidate is found working in any organization, their Ph.D. registration will be summarily canceled.

4.1.5 Candidates receiving a regular salary on a timescale from the University or Research Centres are not eligible to apply for the Full-time research category. Attendance is mandatory for the entire minimum research period.

#### 4.2. Part Time

A candidate registered for the Ph.D. programme under the part-time category must meet at least one of the qualifications mentioned in points 3 above and is having two years of continuous Teaching / Research / Professional experience at the time of registration. The University reserves full rights for admitting candidates under part-time.





4.2.1 Any teacher employed in a government recognized school with a Post Graduate Degree as specified in the University / any other University / Institution / recognized by the Syndicate as equivalent thereto and with at least two years of continuous teaching experience is eligible to register for the Degree of Doctor of Philosophy.

4.2.2 Administrative staff members and non-teaching professionals working as regular employees in state and central government offices, government-owned autonomous organizations, must satisfy other conditions, such as having four years of service after the qualifying degree and adhering to jurisdictional restrictions regarding their place of employment

4.2.3 All applicants shall produce certificates from their employers about duration and nature of employment and No Objection Certificate from their respective Head of the Institution/ Employer. Candidates registered for part time Ph.D. programme shall submit the certificate for continuation in service from the Head of the Institution/employer every year through the Research Supervisor and the concerned Head of the Department / School.

4.2.4 Candidates shall produce employment certificate from the employer every year along with the application for continuation of registration. If the candidate is transferred after Ph.D. admission / registration to a place outside the jurisdiction of the University (Tamilnadu), such candidate will lose the admission / registration and should apply again as and when required.

4.2.5 The normal work of teaching/ non-teaching staff of TNOU shall not be affected at any cost, if they are selected for the Ph.D. programme. Their selection for the Ph.D. Programme is subject to the final discretion of the Vice-Chancellor.

4.2.6 The part-time candidate shall register under a research supervisor who is a full-time teaching faculty of this University.

4.2.7. The following certificates should be attached along with the application for Ph.D. admission.

a) No Objection Certificate from the employer in the prescribed format.

b) Acceptance letter from the co-supervisor in the prescribed format if applicable.





#### 5. ATTENDANCE REQUIREMENTS

#### 5.1. Full-time

5.1.1 Candidates admitted to the full-time Ph.D. programme must secure a minimum of 75% attendance each year, including for short-term training programmes, workshops, seminars, conferences, etc., attended outside the University on the recommendation of the supervisor and with the prior permission of the Head of the Department / School.

5.1.2 Attendance will be calculated on a yearly basis.

5.1.3 A scholar is deemed to have cleared the course(s) only if he/she has more than 75% attendance, appeared for the examination. A shortage of up to 5% in attendance is permissible, subject to the recommendation of the Research Supervisor and the payment of a penalty fee.

5.1.4 A candidate registered on a full-time basis shall work under the continuous supervision of the Supervisor from the date of joining the Ph.D. programme until the submission of the thesis to the University.

5.1.5 There is no vacation break for Full-time Scholars

5.1.6 On Other Duty (OOD) leave will be forwarded by the Head of the School concerned, based on the recommendation of the Research Supervisor, for attending conferences, workshops, and seminars, as well as for undertaking data collection.

5.1.7 After availing OOD, the scholars shall submit their visit report with Participation certificates to the School/Department through the Supervisor. The scholars are individually responsible for their travel, safety, and comfort.

5.1.8 Scholars who wish to undertake academic research-related foreign visits should submit their application well in advance, based on the recommendation of the Research Supervisor, to obtain permission and a No Objection Certificate (NOC) from the Registrar, TNOU.

5.1.9 Extraordinary leave on medical grounds shall be considered and permitted by the Vice-Chancellor when recommended and forwarded by the Research Supervisor. In such cases, this period of leave shall not be exempted from the minimum period requirement.





5.1.10. Eligible maternity leave will be granted for women candidates as per the norms of the UGC and / or Government of Tamilnadu.

5.1.11. Full-time research scholars shall sign the attendance register in the school of the Supervisor on all working days and copy of the same forwarded by the Head of the School should be submitted at the Research Programme Section along with the progress report once in six months. However, those scholars who are availing financial assistance from funding agency shall be governed by the rules of the respective agency.

#### 5.2. Part-time

5.2.1 A candidate registered on a part-time basis in a discipline not involving laboratory work shall attend at least 60 days during the course of research (i.e., for 3 years) at the institution where the supervisor is attached.

5.2.2 In any case, the Supervisor must issue the attendance certificate to the above-said effect, forwarded by the Head of the School /Department for submission to the Research Programme Section of the University.

5.2.3 An attendance register for research scholars shall be maintained.

#### 6. CHANGE OF CATEGORY (CONVERSION FROM FULL-TIME TO PART-TIME & VICE-VERSA OF PH.D. PROGRAMME)

6.1 Conversion from full-time to part-time and vice-versa of Ph.D. research programme shall be allowed only under special circumstances on payment of 'Status Change fee'.

6.2 Notwithstanding anything prescribed in these regulations, Conversion from full-time to part-time mode is considered only after the completion of 18 months from the date of registration, provided there are valid reasons, and they satisfy the regulations, rules, and conditions in force, after completing both coursework examinations. Requests for conversion before this period will not be considered; such candidates must apply for admission with a fresh application. If a candidate secures employment in a government organization, a recognized educational institution, or a recognized national research laboratory (such as DRDO, CSIR, DBT, ICMR, etc.), they may apply for special permission with the recommendation of the Director (Research) with approval of Vice-Chancellor. In such cases, the norms applicable for Ph.D. admission (full-time/part-time) shall be followed.





6.3 If the candidate has been granted leave or leave without pay for two years by their employer, they may be allowed to convert from part-time to full-time status, but only after the completion of 18 months.

6.4 The formula for calculating the minimum period required for the submission of the thesis after conversion from full-time to part-time and vice versa is as follows:

6.4.1 The residual period that the candidate needs to complete at the time of their application for conversion (from full-time to part-time or from part-time to full-time, as applicable) to fulfill the minimum duration requirement for submitting the thesis under the existing category will be noted. The equivalent corresponding period, without exceeding the maximum duration, will be determined and communicated to the candidate.

6.4.2 From Full-Time to Part-Time: actual period spent as FULL- TIME Scholar shall be taken as period of research in full.

6.4.3 From Part-Time to Full-Time: 50% of the period out of total period spent as PART-TIME Scholar shall be taken as period of research for FULL-TIME.

Note: Provision exists for changing the category from full-time to part-time and vice versa, provided that the residency and attendance requirements are satisfied. The change of category must be approved by the Vice-Chancellor and can be granted only once during the tenure, subject to a written request letter and the submission of necessary documents with the recommendations of the Supervisor, Head of the Department, School and the scholar. A change of category more than once may be considered only under extraordinary circumstances, if deemed appropriate. This change is permitted only for scholars who have completed the confirmation of provisional registration.

#### 7. DURATION OF RESEARCH, EXTENSION AND RE-REGISTRATION

**7.1. Duration:** The minimum and maximum period of the Ph.D. programme is counted from the date of registration (as per the notification by the research programme section of this university) and the durations are as follows:





Period of research	Registration from 01.08.2024 onwards		
	Full-Time	Part-Time	
Minimum period of Research			
M.Phil. Degree holders	Two Years	Three Years	
PG Degree holders	Three Years	Four Years	
Maximum period of Research (FT/PT)	Six Years		

Note: Provided further that female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. Programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. Programme.

A non-stipendiary woman candidate shall be granted maternity leave up to 240 days only once during the entire duration of the Ph.D. programme including the casual leave in that academic year. A stipendiary woman candidate shall follow the maternity leave rules stated in the fellowship agreement or these regulations will apply.

The Supervisor shall sanction casual leave to his / her Ph.D. candidate. The maternity leave should be granted under intimation to the Head of the University and RAC with proper supporting documents.

7.1.1 The above-mentioned minimum and maximum duration requirements for the Ph.D. programme are set by the UGC and may be amended from time to time.

7.1.2 The duration of the programme and the time for submission of thesis are counted from the date of provisional registration.

7.1.3 The candidate shall attend the university till he / she submits the thesis. The Supervisor shall maintain a daily attendance register and send a six-month attendance certificate with leave account for each candidate to the Research Programme Section.leave should be granted under intimation to the Head of the University and RAC with proper supporting documents.





7.1.4 The Director of Research with approval of Vice-Chancellor may allow a break in studies for a scholar if deemed necessary, due to extraordinary circumstances like medical reasons or other compelling situations that require their absence from the programme. However, this break will not count toward the minimum duration of the programme.

7.1.5 A break in studies may be granted for a maximum period of one year. The scholar must submit a written request for this, along with the supervisor's recommendation. The request shall be forwarded by the Head of the School and should reach the Director of Research before the break in studies is taken. With the approval of Vice-Chancellor, the Director of Research will issue the order for the break after assessing the need. If prior permission is not obtained, it will be treated as discontinuation, and the scholar's registration may be canceled. The break period will count toward the maximum duration of the programme (Clause 7.1). The scholar must also pay the yearly fees during the break period.

#### 7.2. Extension

7.2.1 Scholars who do not submit their thesis within the maximum duration of the programme (six years) must apply for an extension three months before the six-year period ends, using the prescribed format and with recommendations from the Supervisor and Head of the School. In such cases, a maximum grace period of two years beyond the six-year limit may be granted by the Director of Research with the approval of the Vice-Chancellor.

7.2.2 An extension of time for the submission of a Ph.D. thesis may be granted for up to a maximum of two years upon payment of the prescribed fee. Initially, the extension will be granted for six months, with the possibility of three additional six-month extensions, totaling two years in exceptional cases, with the recommendation of the research supervisor. Up to two extensions may be granted at a time, subject to the payment of the required fee.

7.2.3 Any request for an extension of the research duration must be submitted with the recommendations of the RAC. If the extension is granted, the scholar must pay the existing research fee for every extension period.

7.2.4 If the scholar fails to submit the thesis within eight years from the date of joining the programme, their registration will be canceled without any further notice.



### Att.

#### 7.3. Re-Registration

7.3.1 Candidates who reach a total duration of 8 years, including the initial registration and four extensions, will be required to re-register for the programme. Re-registration must begin immediately after the completion of the two-extension period and shall not exceed 2 years.

7.3.2 Candidates seeking re-registration for the Ph.D. programme must provide evidence of their research progress before the RAC. Re-registration will only be allowed with the recommendation of the RAC.

7.3.3 A re-registered candidate will be permitted to submit their thesis/dissertation at any time within the maximum period of two years.

7.3.4 A candidate may be permitted to re-register under the same research supervisor (if the supervisor retires) in the same institution.

7.3.5 The new research supervisor in the same institution (if willing) may be suggested by the concerned supervisor in the same discipline, with both submitting a 'No Objection Certificate (NOC)' and the concurrence of the candidate.

7.3.6 For re-registered candidates who wish to change their research topic, the required period will be the same as for newly registered candidates.

7.3.7 No extensions will be allowed beyond the two-year re-registration period.

7.3.8 Re-registration can only be done in the July or January session.

**Note:** Depository of Registered Candidates: The University will maintain a year-wise list of Ph.D. candidates on its website. This list will include the candidates' names, their research topics, their supervisors and co-supervisors, and their dates of enrollment or registration as per the UGC instructions. The Research Programme Section is responsible for updating the depository of registered candidates on the TNOU website.



#### 8. SUBJECTS OF THE RESEARCH

**8.1. Disciplines of Study:** Ph.D. programmes, both Full-Time and Part-Time, are offered in various subjects and disciplines through the following Schools of Study or Departments of the University, as amended from time to time.

- School of Social Sciences [SOSS]
- School of Management Studies [SOMS]
- School of Education [SOE]
- School of Humanities [SOH]
- School of Continuing Education [SOCE]
- School of Sciences [SOS]
- School of Computer Science [SOCS]
- School of Tamil and Cultural Studies [SOTCS]
- School of Journalism and New Media Studies [SOJNMS]
- School of Politics and Public Administration [SOPPA]
- School of Library and Information Science [SOLIS]

8.1.1 The candidate must register in the chosen subject or discipline at the time of application, which should align with the main branch of knowledge of their Master's degree.

8.1.2 The disciplines of study are as follows and may be augmented or amended from time to time:

- APPAREL AND FASHION DESIGN
- 🍅 BOTANY
- CHEMISTRY
- DOMPUTER SCIENCE
- CONTINUING EDUCATION (INTER DISCIPLINARY)
- CRIMINOLOGY & CRIMINAL JUSTICE ADMINISTRATION
- DRAMA & THEATRE STUDIES
- ECONOMICS
- EDUCATION
- ELECTRONIC MEDIA STUDIES
- ENGLISH
- GEOGRAPHY
- HISTORY

- IISTORY ANCIENT HISTORY AND ARCHAEOLOGY
- MANAGEMENT
- MATHEMATICS
- MEDIA STUDIES
- NON-FORMAL AND ADULT EDUCATION
- PHYSICS
- POLITICAL SCIENCE
- PSYCHOLOGY
- PUBLIC ADMINISTRATION (INTER DISCIPLINARY)
- SOCIOLOGY
- 🕪 TAMIL
- ZOOLOGY
- SPECIAL EDUCATION

**8.2 Interdisciplinary Research:** For Interdisciplinary research, the proposal under interdisciplinary research should be submitted in the prescribed format duly approved by the Research Committee consisting of the members of the concerned Schools /Departments along with the minutes forwarded by the Supervisor and the Head of the School concerned to the University. For interdisciplinary research, a recognized supervisor may have a co-supervisor.

8.2.1 Ph.D. regulations allow for interdisciplinary research, enabling candidates to pursue research in a field related to their master' degree, even if it differs from their primary discipline. This requires certification from the Research Supervisor to ensure relevance. The degree awarded will be Ph.D. (Inter-disciplinary).

Example: A student with a master's degree in Biology might choose to do their Ph.D. research in Environmental Science. As long as their research is relevant to their biology background and their supervisor agrees, the degree awarded will be Ph.D. (Inter-disciplinary). A supervisor with expertise in Chemistry but working in the field of Environmental Science can also supervise the Candidate for leading to inter disciplinary research.

8.2.2 The Committee, comprising the Director (Research) and the Members nominated by the Vice -Chancellor should decide the eligibility of the candidate's subject for admission to Ph.D. interdisciplinary programs if any disputes arise.



8.2.3 A co-supervisor for interdisciplinary studies is mandatory. The co- supervisor e will be part of the RAC and must also sign the thesis.

8.2.4 The candidates shall be awarded with the degree only in the registered interdisciplinary fields on successful completion and fulfilment of all the conditions as specified in the Ph.D. regulations of the University.

#### 9. PROCEDURE FOR ADMISSION

Admission to the Ph.D. programme is offered twice a year, i.e January and July. Online applications will be available in December and June for these sessions. Candidates must submit the hard copy of their applications by the first working day of January and July to the University. Applicants should secure the acceptance of a recognized Supervisor when submitting their application, though this does not guarantee admission. Admission is based on merit, considering the entrance examination performance and PG degree marks. The admission process should be completed within 30 days from the application deadline each session. Afterward, the University will ratify the admission and grant temporary registration to the Ph.D. candidate.

9.1.1 Admission shall be based on the criteria notified in this set of regulations taking into account the reservation policy of the State Government from time to time.

9.1.2 University shall issue notification for Ph.D. admission twice every year, one in the month of June and another in the month of December.

9.1.3 The University shall decide and notify well in advance in the Institutional website subject / discipline-wise number of seats available and schedule for tests and interviews for admission, etc.

9.1.4 The candidates desirous of registering for Ph.D. Programme under any one of the above categories shall apply by filling all the relevant details mentioned in the online application form posted in the University website and submit the completed application online and send the hard copy of the application along with all enclosures to the Director, Research before the due date as indicated in the notification issued from time to time.

9.1.5 Incomplete applications and applications with false information in any respect shall be summarily rejected without any intimation to the candidate.





9.1.6 The Research Programme Section (RPS) and respective schools of study / department shall screen the applications as per the eligibility norms and the RPS shall conduct the entrance test only for eligible candidates. Candidates appearing for the entrance test should obtain minimum marks as specified by the University to qualify for the interview process.

9.1.7 The admission of Ph.D. students shall be based on the following criteria besides General Eligibility:

a) Admission will be based purely on merit: a minimum of 50% marks in the entrance examination is mandatory to be eligible for the interview.

b) The entrance examination will be conducted by the Controller of Examination, and will have a maximum of 100 marks, divided as follows:

(a) Entrance examination: An entrance at the post-Graduate level for 100 marks, consisting of 100 multiple-choice questions (MCQs), each carrying one mark, with a test duration of 90 minutes. No negative marks for wrong answers. The exam will assess the candidate's research aptitude, subject knowledge, and research methodology. Candidates must secure at least 50 marks out of 100 in the entrance examinations. A relaxation of 5% (from 50% to 45%) will be provided for candidates from SC/ST/Differently abled categories in the entrance examination. 50 % of entrance mark will be converted in to 70%, 20 of % Mark will be based on Interview and 10% of the PG mark.

c) The marks awarded in the entrance test shall be valid only for the notified session and shall be used solely for registration in the Ph.D. programme during that session.

d) The candidate shall take the examination only in the subject for which they have applied for admission to the Ph.D. programme. The Controller of Examination is authorized to conduct the entrance Examination. The question paper must be set and evaluated by the external experts under the supervision of the Controller of Examination.

e) The interview shall be conducted by a committee at the school/department, approved by the Vice-Chancellor, where the candidate applies. The maximum marks for the interview shall be fixed at 20%, and the grade obtained in the postgraduate (PG) degree will account for 10% of the final rank list preparation.



f) Candidates with an M.Phil. degree from an approved university, or those who have qualified UGC-JRF /NET /SLET /GATE /CSIR /ICHR /ICPR / ICSSR, or hold a teacher fellowship, are exempt from the entrance test but must attend the interview.

g) Candidates seeking exemption from the entrance test must submit allrelevant certificates that support their claim along with their application. If the required certificates are not submitted with the application, no exemption will be granted.

h) Based on qualifying the entrance examination, candidates will be called for the interview. The personal interview will be conducted for 20 marks to identify the candidate's research interest/knowledge in the area of research through a presentation or demonstration where the candidate applies shall also check the applicant's competence for research.

i) Candidates must secure at least 50% overall in both the entrance test and the interview to be eligible for admission.

j) The Committee shall prepare a list of applicants who appeared for Entrance Examination, along with marks obtained in the Entrance Examination, and prepare the merit list. The merit list will be submitted to the Research Programme section for further processing.

9.1.8 The rank list will be prepared based on the following scheme:

S.No	Candidate Name	Entrance test (70%) *	Interview (20%)	PG Mark (10%)	Total Marks (100%)	Rank

\*Marks out of 100 in entrance examination will be converted to 70%, and full marks will be awarded for passing the NET/SLET/SET examination.





- 9.2.1 The constitution of the Selection Committee is as follows:
  - a) Nominee of the Vice-Chancellor
  - b) Director (Research)/ Co-ordinator (Research)
  - c) Head of the School / Department concerned
  - d) Internal Subject Expert from the Department
  - e) SC /ST Nominee
  - f) External subject expert (Discipline/Interdisciplinary) as the members.

The members of the above committee shall be recognized as research supervisors.

9.2.2 During the interview, the Selection Committee shall interact with the candidate and assess their subject knowledge, Advancement in the field, research interest/aptitude, and communication skills.

9.2.3 The Head of the School / department shall certify that the selections have been made in accordance with the guidelines issued by the University.

9.2.4 The Committee shall allot the Research Supervisor to each candidate admitted into the Ph.D. programme based on the mutual consent of the Supervisor and candidate.

9.2.5 The minutes of the Committee, along with the list of candidates, their research supervisors, and recommendations, shall be submitted to the Vice- Chancellor for approval through the Research Programme Section.per the UGC instructions. The Research Programme Section is responsible for updating the depository of registered candidates on the TNOU website.





#### **10. ADMISSION AND PROVISIONAL REGISTRATION**

10.1 Candidates selected by the Committee will be notified to proceed with admission and provisional registration for the Ph.D. programme (Full-time/Part- time). At the time of admission, candidates must submit the provisional registration form along with attested photocopies of their original certificates and PG genuineness certificate. The original certificates will be verified by the respective school/department and Research Programme Section.

10.2 The scholar, supervisor, co-supervisor, Research Advisory Committee (RAC) members, and examiners must not be blood relatives.

10.3 Every applicant who meets all the prescribed conditions and procedures shall, upon approval by the University, be provisionally registered for the Ph.D. programme. For interdisciplinary research, the proposal must be submitted in the prescribed format, duly approved by the Supervisor, and forwarded by the Head of the School concerned to the Research Programme Section.

10.4 A scholar provisionally registered for the Ph.D. programme must not register for any other UG, PG, Ph.D. degree programme at TNOU or any other university, whether through regular or distance mode, during the research period. Simultaneous registration in multiple programmes will result in the immediate cancellation of the Ph.D. registration at this University. However, the scholar may register for certificate or diploma programs of one-year duration through distance mode in any university.

10.5 All admitted research scholars must pay the research fee at the beginning of each research year within a month to avoid penalties for late payment, as prescribed by the University

#### 11. TNOU RESEARCH FELLOWSHIP (TNOURF)

11.1 The University provides fellowships to eligible full-time Ph.D. scholars who publish at least one international research article or paper in peer-reviewed UGC-CARE, Scopus, or WoS journals. The fellowship, as per TNOU norms, is awarded for a period of one year upon submission of the prescribed application with the necessary proof.





The candidate may be eligible for an additional year of fellowship if another research article / paper is published in a peer-reviewedUGC-CARE, Scopus, or WoS journal. If the candidate publishes two research papers simultaneously, they will be eligible for a two-year fellowship. However, the fellowship can be availed only for the minimum duration required to complete a full-time Ph.D. degree as per TNOU norms

#### 12. GUIDELINES FOR RESEARCH SUPERVISOR

12.1 Qualifications of a Research Supervisor

12.1.1 The Research Supervisor must be a permanent full-time faculty member in the rank of Assistant Professor, Associate Professor, or Professor, working in the various Schools of TNOU. The University reserves the right to grant guideship at its discretion.

12.1.2 An applicant seeking recognition as a supervisor must hold a Ph.D. degree from a UGC-recognized university in the relevant research area in which they have conducted research.

12.1.3 Regular teaching faculty members who have completed at least two years of service at the University are eligible to apply for research advisorship, provided they have a strong research record, including international publications in UGC-CARE, Scopus, or Web of Science after their Ph.D. Applicants must have published research papers as the first or corresponding author within the last five years.

S.No	Designation	Minimum number of required publications
1.	Professor	Seven Research publications
2.	Associate Professor	Five Research publications
3.	Assistant Professor	Three Research publications

12.1.4 Research Supervisor recognition shall not be granted to the teachers working as Guest Lecturers/Teaching Assistants

12.1.5 External supervisors are not permitted. However, a co-supervisor who is a recognized Ph.D. supervisor may be appointed for interdisciplinary research. This co-supervisor can be from another department within the same institution or from a related institution within the University's jurisdiction, provided that their appointment is approved by the Research Advisory Committee.





12.1.6 A faculty member who takes on an administrative position, such as Controller of Examinations, Registrar, or Vice-Chancellor, shall not serve as a research supervisor. However, they may continue to supervise the theses of candidates who were already registered under them prior to assuming the administrative role. Once these existing candidates have completed their theses, the faculty member will no longer be permitted to register new candidate.

12.1.7 Applications for guideship will be reviewed by external experts nominated by the Vice-Chancellor. The final decision will be based on the reports received from these experts.

#### **12.2 Procedure for Recognition of Research Supervisor**

12.2.1 A faculty member may apply for guideship in only one discipline, which must be the same as their postgraduate qualification. They are permitted to guide only in that discipline, except in cases of interdisciplinary research.

12.2.2 The prescribed application for recognition as a Research Supervisor must be submitted through the proper channel, along with the applicant's Curriculum Vitae and a list of research publications in quality refereed journals or other refereed publications, including photocopies of those publications.

12.2.3 If the applicant has obtained their Doctorate Degree through interdisciplinary research, the External Expert Committee of the University will determine the appropriate subject for guideship

#### **12.3 Tenure of Research Supervisors**

12.3.1 Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation. The co-supervisor must be nominated by the University in the same discipline, in consultation with both the supervisor and the candidate, prior to the supervisor's superannuation.

12.3.2 In any extraordinary situation, if a Research Supervisor takes voluntary retirement, resigns, or moves outside the jurisdiction of the University, the scholars under their supervision may be reassigned to another Research Supervisor within the University, with the approval of the Vice-Chancellor, based on the recommendation of the outgoing Research Supervisor.





12.4.1. The number of candidates that can be supervised by a ResearchSupervisor / Cosupervisor shall be as specified below, in accordance with the UGC Ph.D. Regulations – 2022

S.No	Designation	Total number of candidates (Full-time/Part-time)
1.	Professor	8
2.	Associate Professor	6
3.	Assistant Professor	4

12.4.2 The number of part-time candidates under a Supervisor at any time shall not exceed 50% of the total number of candidates permitted under any Research Supervisor.

12.4.3 The supervisor shall submit the evidence of change of designation to increase the number of Research Scholars under his/her supervision.

12.4.4 For assessing the number of vacancies under a supervisor the actual date of submission of the thesis by the candidates registered shall be taken into account

#### **12.5 Responsibilities of Supervisors**

The Supervisor is also expected to guide Ph.D. scholars on related matters such as teaching skills and career guidance. The Supervisor's responsibilities include providing information and advice to scholars on all aspects of the Ph.D. programme, with a focus on guiding academic aspects of research activities.

The Supervisor holds primary responsibility for overseeing the academic progress of the candidate throughout the period of study.

a) He/she counsels the scholar on academic matters, provides guidance on the nature of course work and research, ensures the required standards, monitors the progress of research, and assesses the quality of work by dedicating exclusive time to them.

b) Maintains copies of all records, including reports and minutes of the Research Advisory Committee meetings.



c) Ensures the timely and regular conduct of the mandatory Research Advisory Committee meetings.

d) Maintain regular contact with Ph.D. scholars and engage in discussions to assess whether their ideas are suitable for the research project.

e) Advising the scholar for contacting relevant national and internationalorganizations in the area of research.

f) Regular review and feedback on the PhD thesis.

g) Preparation of the final statement from supervisor summarizing the overall Ph.D. programme at the time of PhD thesis submission

h) Active participation in the assessment and PhD defense

i) To ensure successful and timely completion of the programme.

#### **12.6 Responsibilities of Co- Supervisors**

a) Serve as a member of the Research Advisory Committee.

b) Advise the scholar on academic matters, including guidance on coursework, research, required standards, research progress, and quality of work, by dedicating sufficient time to them.

c) Collaborate with co-supervisors, if applicable, with clearly defined responsibilities, which typically involve academic discussions related to specific areas of the research project.

d) Ensure and certify the successful and timely completion of the Ph.D. programme.

#### 12.7 Withdrawal of Guideship Recognition

12.7.1 If a Research Supervisor is found to be involved in plagiarism, moral turpitude, fraudulent academic achievements, or any activities detrimental to the reputation of the University, their recognition as a research supervision will be immediately revoked without any explanation provided.





#### 12.8 Change of Supervisors and Transfer of Scholars

12.8.1 A change of research supervisor shall not be permitted as a routine manner. In exceptional cases, such a change may be allowed if the candidate provides valid reasons. A committee, headed by the Vice-Chancellor, will review the petitioner's request in cases of conflict between the scholar and the research supervisor. The processing fee of shall be paid by the candidate. The current research supervisor must issue a "No Objection Certificate," and the proposed new supervisor must provide a "Certificate of Willingness" to guide the candidate with concurrence of the scholar

#### 13. COURSE WORK EXAMINATION & EVALUATIO

#### **13.1 Course Work Examination**

13.1.1 Both Full-time and Part-time candidates provisionally registered for the Ph.D. programme must undergo Ph.D. Course-Work examinations in the first year for the confirmation of provisional registration and as part of the Ph.D. programme requirements. The coursework consists of the following:

Course	Course title	Credit
I.	Research and Publication Ethics (Mandatory for all)	2
II.	Research Methodology	4
.	Advanced course in the concerned discipline	3
IV.	Special Paper (pertaining to the area of specialization chosen by the candidate/research specialization of the supervisor)	3
	Total	12

13.1.2 Course-I: Research and Publication Ethics is mandatory for all research scholars in accordance with the UGC guidelines adopted by the University.

13.1.3 The Research Advisory Committee (RAC) shall approve the syllabus prepared by the Research Supervisor/Co-Supervisor for Course-II: Research Methodology, Course-III: Advanced course in the concerned discipline, and Course-IV: Special Paper. These courses are designed to support the research or provide background relevant to the candidate's Ph.D. work.





13.1.4 The Research Advisory Committee may permit the scholar to pursue a 4- credit course on Research Methodology conducted through a Massive Open Online Course (MOOC) or any other recognized online platform. If the scholar provides a course completion certificate, they may be exempted from Course-II: Research Methodology.

13.1.5 A Ph. D. scholar shall be required to secure a minimum of 55% of marks or its equivalent grade in the UGC -10-point scale (or an equivalent grade / CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.

13.1.6 A candidate who has completed an M.Phil. degree in a specified area of research shall be exempted from Course II of the Ph.D. programme, provided they have registered for the Ph.D. programme in the same area of research. Otherwise, the RAC shall recommend that the candidate write a relevant paper in the area of research.

13.1.7 The duration of examination for each paper shall be 3 hours and the maximum marks for each course shall be 100 marks. The pattern of Question Paper is as shown below:

Part	No. of Questions	Marks (Total marks 100)
1.	Five out of Eight	5 x 5 = 25
2.	Three out of Five	3 x 10 = 30
3.	Three out of Five	3 x 15 = 45

#### 13.2. Course Work Evaluation

13.2.1 The final examination for the Course Work is centralized and conducted by the Controller of Examinations along with the Term End Examinations. The question paper for Course-I will be common across disciplines.

13.2.2 The Controller of Examinations is authorized to conduct the course work examinations with external experts and declare the results within the stipulated time.

13.2.3 There shall be a passing minimum of 50 marks out of 100 on each course. Only the candidates who have secured the minimum of 50 marks out of 100 in all four courses with an aggregate of 55 percentage of marks shall be declared as passed in Theory Examinations.





13.2.4 A candidate who does not secure the minimum of 50 marks out of 100 in a course must reappear for that course to complete the Examination. Candidates who secure at least 50 marks in each course and an aggregate of 55% or higher in the Examination will be exempt from reappearing for any course (s).

13.2.5 The research scholars shall be required to complete the course work within a period of eighteen months from the date of provisional registration in order to pursue further with their research work. For those who fail to complete the course work within the stipulated time, the RAC may recommend an extension of six months, if the RAC is convinced of their performance. If not, the RAC may recommend the cancellation of Ph.D. registration.

#### 13.10. Confirmation of Provisional Registration

13.10.1 Results/ grades in the coursework shall be considered by the Research Advisory Committee for recommending the confirmation of provisional registration and continuation of the research work.

#### 14. RESEARCH ADVISORY COMMITTEE (RAC) & ITS FUNCTIONS

14.1 Within one month from the date of admission and issuance of provisional registration by the University, the Research Supervisor shall propose a panel for the Research Advisory Committee (RAC) for each candidate and submit it for the University's approval.

14.2. The composition of the Research Advisory Committee is as follows:Research Supervisor – ConvenerTwo external subject experts – Members

14.3 Two external subject experts in the same discipline shall be recommended by the research supervisor and approved by the Vice-Chancellor from a five-member panel of subject experts suggested by the Research Supervisor.

14.4 All the RAC members shall be recognised Research Supervisors in any University and shall be in active service. A Professor/ Associate Professor and the Assistant Professor who has five years of teaching / research experience in the Higher Education Institutions are eligible to become member of the Research Advisory Committee. Retired Professors, Emeritus Professors, or re-employed individuals may not be considered for nomination to the RAC.





14.45 The RAC members shall have a minimum of three years of service remaining before retirement at the time of their nomination.

14.6 For inter-disciplinary research, the co-supervisor shall also be included as a member of the RAC, in addition to the members mentioned above. The research supervisor must submit the bio-data of the proposed co-supervisor, who should be approved by the University for inclusion in the RAC.

14.7 The RAC meetings shall be convened periodically, at least once a year, to review the research progress of the scholars and to offer suggestions.

14.8 The minimum number of Research Advisory Committee meetings shall be conducted as given in the following table:

Research Advi	Research Advisory committee meeting schedule, minimum requirement		
RAC Meeting (Annexure-A)	<ul> <li>The first RAC (Research Advisory Committee) meeting should be convened within six months from the date of receipt of the provisional registration order</li> </ul>		
	<ul> <li>The research topic and the coursework to be undertaken by the research scholar must befinalized during this meeting</li> </ul>		
	<ul> <li>The syllabus for the coursework should be formulated and approved by the RAC.</li> </ul>		
	<ul> <li>The research scholar is expected to demonstrate some progress in their research work, including identifying the research problem.</li> </ul>		
	<ul> <li>The minutes of the first RAC meeting, in the prescribed format should be promptly forwarded to the Director of Research for further action.</li> </ul>		
RAC Meeting 2 (Annexure-B)	• The second RAC meeting will approve the results for course work examinations and the progress of research as well as recommend for Confirmation of Provisional Registration		
	<ul> <li>The scholar's progress since the first RAC meeting is thoroughly reviewed. This includes any preliminary research findings, literature review, and the development of the research problem</li> </ul>		





	<ul> <li>A detailed research proposal is presented by the scholar, outlining the objectives, methodology, and expected outcomes. The RAC evaluates and provides feedback or suggestions for improvement.</li> <li>Based on the scholar's progress and any challenges faced, the research plan may be revised. This could include redefining research objectives, changing methodologies, or adjusting timelines.</li> </ul>
RAC Meeting 3 (Annexure-C)	<ul> <li>A thorough evaluation of the research scholar's work since the second RAC meeting is conducted, including any data collection, analysis, and preliminary results.</li> <li>The third RAC meeting should be held before submitting the synopsis to assess if the research scholar is ready to submit their Ph.D. dissertation.</li> </ul>
	<ul> <li>The candidate must give a Pre-Ph.D. presentation in front of the RAC, faculty, and research scholars, showcasing the main findings and contributions of their research.</li> </ul>
	<ul> <li>Feedback and suggestions will be provided, and the committee will ensure the research meets academic standards and is ready for submission.</li> </ul>
	<ul> <li>The committee ensures that the scholar is nearing the completion of their research work, with an emphasis on finalizing research findings, data interpretation, and conclusions.</li> </ul>
	• The RAC verifies whether the scholar has met the publication requirements (e.g., papers published in UGC-CARE-listed journals, Scopus, or WoS), which may be necessary for thesis submission as per UGC guidelines
	<ul> <li>The third RAC meeting will review the scholar's research progress, including presentations at seminars and conferences, publications in UGC CARE List/Scopus/ WoS/PubMed/SCJ journals, and the amount of work completed, as required for thesis submission under UGC guidelines.</li> </ul>
	<ul> <li>During this meeting, the RAC will grant permission for the submission of the synopsis.</li> </ul>



14.9 In addition to third RAC meetings, the RAC may decide for the requirement of further meeting, if needed, for which the research supervisor shall obtain prior approval of the Vice Chancellor.

14.10 All the minutes of the RAC meetings shall be forwarded to the Research programmes Section through concerned the Head of the School / Department.

14.11 A change of RAC member is permitted only once without charge, with the approval of the Vice-Chancellor and with proper reason and justification. For any subsequent changes, prescribed fee must be paid for each RAC member change.

14.12 The Research Advisory Committee shall have the following functions:

a) Advise and recommend on all matters related to the candidate's research from admission to the submission of the thesis.

b) Approve the topic of research.

c) Scrutinize the research proposal submitted by the candidate.

d) Recommend the course(s) that the candidate must undergo.

e) Assess and approve the progress reports of Ph.D. scholars in the prescribed format and evaluate the candidate's fitness to proceed with his/her research work.

f) If necessary, recommend and approve changes to the thesis title, supervisor, or researcher's status (e.g., from full-time to part-time and vice versa).

g) Periodically review and endorse the candidate's research progress.

h) Supervise the candidate's presentation of the final draft of his/her proposed thesis for approval before submitting the synopsis to the Director, Research Programme Section, and sign a certificate to this effect to be submitted with the synopsis.

i) Assess the conduct/validity of experiments/fieldwork, review laboratory observation notebooks, data recording and analysis, and publications.

j) Assess the quality and quantity of research work for the submission of the synopsis.

k) Verify the mandatory publications in UGC-CARE / WoS /Scopus to permit the scholar to submit the synopsis.

I) Approve the synopsis of the thesis.

m) Finalize and approve the title of the thesis.

n) Monitor the corrections carried out, if any, as pointed out by the thesis evaluation examiners.





The convener will convene the Research Advisory Committee meetings with prior intimation to the Director, Research with approval of Vice Chancellor.

14.13 TA/DA and sitting fees shall be paid by the University only to external RAC members, in accordance with TNOU norms

#### 15. CHANGE OF RESEARCH TOPIC / SPECIALIZATION

15.1. Research scholars shall be permitted to request a change of title prior to the submission of the thesis synopsis. The request must be submitted either with the latest half-yearly progress report or along with the Ph.D. pre-thesis submission presentation report. All such changes must be approved by the RAC. However, the broad field of research cannot be changed

15.2 The prescribed processing fee shall be paid by the candidate.

15.3 In exceptional cases (i.e., correction in the topic/title), for the candidates who have crossed the time limit, consent may be obtained from the concerned RAC and approval from the Vice Chancellor.

#### 16. MONITORING THE PROGRESS OF THE SCHOLAR

16.1. Research scholars, both full-time and part-time, shall submit Research Progress Reports every six months in the prescribed format, duly endorsed by the research supervisor, to the Director of Research until they submit their synopsis.

16.2 Research scholars, both full-time and part-time, shall submit Research Progress Reports annually in the prescribed format, duly endorsed by the RAC, to the Director of Research.

16.3 Failure to submit the progress reports periodically shall result in the automatic cancellation of registration.

16.4 The minutes of the meeting of the Research Advisory Committee along with enclosures will be sent to the Director, Research, Research Programme Section through Head of the School /Department.

16.5 The RAC meetings shall be convened in the chamber of the Research Supervisor or at any other convenient place within TNOU with prior approval.





16.6 The RAC meeting may also be conducted via video conferencing or internet chat if the candidate or the Research Supervisor is in a foreign country under extraordinary circumstances with approval of Vice Chancellor.

16.7 If the candidate does not complete their Ph.D. within the prescribed period, the expenses for the RAC meetings will be borne by the scholar. RAC meetings will be conducted only after the prescribed fee paid to the university for conducting meetings beyond the minimum period of the Ph.D.

#### 17. PRE Ph.D.- PRESENTATION

17.1 The pre-Ph.D presentation is a requirement to fine-tune the research work of the candidate. This presentation shall be conducted during the third RAC meeting before the submission of the synopsis at the research programme section concerned in the presence of the RAC members, faculty members and research scholars.

17.2 The pre-Ph.D presentation shall be conducted in the school / department after notifying the same by Research Supervisor at least 7 days before the date of presentation. The scholar is expected to present the first draft of the research work or can explain the research findings. The gathering may suggest ideas/references to improve the research work and so on.

17.3 The minutes of the Pre Ph.D.-Presentation approved by the RAC shall be forwarded to the Research programmes Section through the Head of the School / Department concerned.

17.4 If the candidate is not successful in the pre-Ph.D. presentation, the candidate can appear again after six months for another pre-Ph.D. presentation. In case of any suggestions for corrections/ modifications, it may be recommended for the conduct of approval for the submission of thesis.

#### 18. PLAGIARISM CHECK

18.1 According to UGC mandatory requirements, every thesis must undergo a plagiarism check using the facility provided in the University Library. A certificate from the Librarian or Assistant Librarian, countersigned by the Supervisor, must be produced to confirm that the thesis contains plagiarism within permissible limits. This check should be completed before the submission of the thesis, and the certificate should be attached to the thesis, duly signed by the Librarian or Assistant Librarian.



18.2 The Candidates shall submit soft copies (two) which shall be exact replica of the printed version of the thesis in portable document format (pdf) and Word Format along with the required fonts in Compact Disc (CD)/Pen drive.

18.3 The University concerned shall have a mechanism using well- developed software applications (updated) to detect Plagiarism in research work and the research integrity shall be an integral part of all the research activities leading to the award of a Ph.D. degree.

18.4 A Ph.D. scholar shall submit the thesis for evaluation, along with

a) An undertaking from the Ph.D. scholar that there is no plagiarism and

b) A certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/ diploma to any other Higher Educational Institution.

18.5 The levels of plagiarism in a thesis are as follows:

a. Plagiarism check for the content of the chapters may be done as per the UGC Notification i.e., University Grants Commission (Promotion of Academic Integrity and Prevention of Plagiarism in Higher Educational Institutions) Regulations, Dated 23<sup>rd</sup> July 2018 and as applicable from time to time.

Percentage of plagiarism	Report
10% and below	Thesis accepted subject to correction of plagiarism except for technical and accepted terms
Above 11%- below 40%	Thesis can be re-submitted within 6 months from date of Plagiarism check, after correction
Above 41% to 60%	Thesis rejected. To be resubmitted after extensive revision after one year from date of plagiarism check
Above 61%	The registration for the programme shall be cancelled

b) If the plagiarism report of the candidate is found to be non-original in the future, the Ph.D. degree will be revoked by the Univesity.



#### 19. SYNOPSIS SUBMISSION

19.1 After completion of the minimum period of research duration, a Scholar with confirmation of Provisional Registration is eligible to submit his/her Ph.D. synopsis.

19.2 The scholar shall submit the thesis only after a minimum of three months from the date of submission of the synopsis and before six months have elapsed from the synopsis submission.

19.3 The candidate must publish at least two research papers in a UGC CARE list/Scopus/ WoS/PubMed/SCI journal before submitting the synopsis. Additionally, the candidate must present two research papers at a Regional, National, or International Seminar/Conference during the period of research before submitting the thesis. The relevancy shall be certified by the research supervisor.

19.3 Before the submission of synopsis/thesis, the Ph.D. candidate should present pre-Ph.D presentation in the school / department including the RAC members, faculty members and research scholars for getting feedback and comments, which may be suitably incorporated into the draft thesis under the advice of the Supervisor.

19.4 The Synopsis shall be in 10 to 20 pages of A4 size paper typed in 1.5 line spacing on one side of the paper using a legible font such as "Times New Roman style - 13 points (for English) and Unicode style – 13 points (for Tamil)". Figures and tables may be included if considered absolutely necessary.

19.5 The components of the synopsis shall be as follows:

- 🕪 Title of the Thesis
- Introduction to the Research Topic
- Research Problem, Objectives, and Scope
- Study Sample, Tools, Data Collection Methods, Materials, and Methods
- Results and Discussion
- Conclusion and References
- Evidence of Publications



19.6 Six hard copies of the synopsis of the thesis, duly signed by the candidate and forwarded by the research supervisor through the proper channel, along with an electronic copy in PDF format on a pen drive with a proper label, shall be submitted to the office of the Research Programme Section in a sealed cover, along with the panel members for adjudication.

19.7 The following documents shall be enclosed with the Synopsis submission Form:

a) A Copy of letter of confirmation of registration.

b) The original certificates of evidence for the presentation of papers in

seminars/Conferences and publication details in Journals as well as minutes

of Pre Ph.D-submission presentation.

c) All other certificates mentioned in the Checklist as prescribed by the University from time to time.

19.8 The Synopsis shall not be accepted by the Research Section of the Office of the Controller of Examinations if the Certificate of Genuineness of Research30 Publication (with the first page of the published paper) is not enclosed at the time of submission of the synopsis.

19.9 If the scholar is unable to submit the thesis within six months from the date of submission of the synopsis, he/she must apply for an extension of time to submit the thesis for an additional six months or until the completion of the maximum period of six years, whichever is earlier. Submission of the thesis beyond the six-year period is not permitted. However, the scholar may apply for re-registration as per the norms.

#### 20. PANEL OF EXAMINERS

While forwarding the synopsis, the research supervisor or RAC shall submit to the University, in a sealed cover, the prescribed panel of examiners along with the brief curriculum - vitae containing the official e-mail ID (Institution e-mail ID) and Website link of the institution for each examiner for the evaluation of the thesis and for the conduct of the viva-voce examination. Concurrence must be obtained from the panel of examiners before submitting the panel to the University. A copy of the concurrence must be forwarded via email to the Research Programme Section.



20.1 The Research Supervisor / RAC shall submit a panel of containing Three from the Outside the Country (excluding the experts who are on short term employment in Abroad), Three from Outside the State of Tamilnadu in India not more than one expert from a state along with the email acceptance of all examiners, and Three from Within the State of Tamilnadu including Puducherry (preferably from Chennai to conduct viva-voce examination) for conducting the Viva Voce examination.

20.2 For Indian Languages such as Tamil, Linguistics (Tamil version) and Fine Arts, the panel shall consist of four members within Tamil Nadu and four members outside Tamil Nadu.

20.3 The proposed Panel Examiners should hold a Ph.D. Degree with more than 10 years' teaching / research experience at the post-graduate level with publications at national/ international level journals in the same or related research area of the thesis to their credit. The examiner can be Associate Professor / Professor / Research Scientist with adequate experience.

20.4 The Vice-Chancellor will select one Indian and one overseas examiner from the panel and will also indicate the order of priority of examiners from 1 to 3 from the panel of Indian and foreign examiners submitted.

20.5 Close or immediate relative of the Research Scholar/Research Supervisor shall NOT be suggested in the panel of examiners.

20.6 The Vice Chancellor shall nominate the Adjudicators for evaluation of thesis, and one examiner for conducting viva-voce examination.

20.7 If the Vice Chancellor exhausts the panel or rejects the panel for any reason, or the Supervisor fails to submit the panel within the specific time period, the Vice-Chancellor shall either ask for a fresh panel to be submitted within one month by the Supervisor or shall create a new panel from the list of examiners who have already evaluated the theses in the specific or relevant discipline for the University.

20.8 Once the Vice Chancellor has approved the panel of examiners and assigned, the Director, Research shall forward/dispatch the synopsis to the first examiner in both the panels and seek their acceptance for evaluation of the thesis. supervisor.





20.9 If any examiner declines to accept the invitation to evaluate the thesis, the synopsis shall be sent to the next examiner in the list.

20.10 If there is no response from the examiner three weeks after dispatching the synopsis, the Director, Research shall send the synopsis to the next examiner with approval of vice chancellor.

20.11 If the acceptance to evaluate the thesis is not received from the first panel of examiners, the Director, Research shall call for a fresh panel from the research supervisor.

#### 21. THESIS SUBMISSION

The thesis shall present, in an organized and scholarly manner, an account of the scholar's original research work, leading to the discovery of new facts, techniques, or the correlation of already known facts (whether analytical, experimental, or hardware-oriented). It should demonstrate a substantial contribution to the advancement of knowledge and reflect the scholar's ability to conduct sustained research.

21.1 Six hard copies of the thesis, along with a soft copy, shall be submitted after the Pre-Ph.D. Presentation and Synopsis submission. The hard copies should be in A4 size, printed on A4 executive bond paper.

21.2 The thesis should be printed with 1.5 line spacing on one side of the paper, using 'Times New Roman, 13-point font' for English and 'Unicode, 13-point font' for Tamil. The APA reference style shall be followed.

21.3 The thesis shall not exceed 250 to 275 pages, excluding appendices and references. The soft copy must be an exact replica of the printed version, in both Portable Document Format (PDF) and Word format, including the necessary fonts for languages other than English. The thesis should be soft bound.

21.4 The hard and soft copies of the thesis must exactly be the same.

21.5 The title of the synopsis and the thesis must be the same. The title page of the thesis, cover format, and other elements shall strictly adhere to the prescribed presentation format.



A+ HAAC

21.6 The thesis shall be typed on both sides of the page to conserve paper and reduce postage costs.

21.7 Scholars must pay fees for every year during the notified period until the submission of the thesis. Any additional fees, as applicable, shall be paid as notified from time to time.

21.8 The Ph.D. thesis in all subjects shall be submitted in English, except for Tamil and other Indian language subjects, where the thesis must be submitted in the respective language.

21.9 The title page of the thesis, cover, format, etc., shall be in accordance with the format prescribed.

21.10 Ph.D. thesis shall carry a certificate as given duly signed by the Research Supervisor and a declaration signed by the candidate as given in the prescribed format.

21.11 Candidates submitting a Ph.D. thesis in subjects other than English, who wish to submit it in Tamil, must obtain prior approval from the Supervisor / RAC and permission from the authorities well in advance. In such cases, the synopsis must be submitted in both English and Tamil versions.

21.12 An abstract of the thesis, along with a glossary of technical terms prepared in Tamil (500 words), must be submitted to the Tamil Virtual Academy, Chennai, through the University. A certificate to this effect must be obtained from the Tamil Virtual Academy and enclosed with the thesis during submission, in accordance with G.O.5, dated 07.01.2022, issued by the Higher Education (K2) Department, Government of Tamil Nadu.

#### 22. ADJUDICATION OF THE THESIS

22.1 The thesis shall be referred by the University for evaluation to a Board of Examiners consisting of three experts: one from outside the country, one from outside the territorial jurisdiction of Tamil Nadu Open University, and the Research Supervisor.

22.2 As soon as the synopsis and panel of examiners are received, and after verification of the fulfillment of research paper publications and conference attendances as per the TNOU Ph.D. Regulations 2022 by the Research Programme Section, the Vice Chancellor shall nominate one external examiner from abroad and one examiner from outside the state of Tamil Nadu, India, for the evaluation of the thesis.





22.3 Each examiner appointed by the University to adjudicate the thesis shall be requested to submit their detailed report within 30 days from the date of receipt of the thesis to the Research Programme Section in addition to completing the proforma for the adjudication of the Ph.D. thesis as prescribed in the format given.

22.4 The report of the examiner should include:

a) A critical assessment highlighting the objectives, merits, and demerits of the thesis.

b) A definitive recommendation on whether the thesis meets the standards required for the Ph.D. degree.

c) Questions and clarifications to be raised during the viva-voce examination.

d) The "CHECK-SHEET" provided by the University, duly completed and signed.

22.5 If all three examiners recommend the award of the Ph.D. degree, the candidate shall be permitted to take the viva-voce examination.

22.6 After receiving all three evaluation reports, the Research Section shall submit them to the Vice Chancellor, who will then nominate an external examiner from Tamil Nadu and Puducherry (preferably from Chennai) to conduct the public viva-voce examination.

22.7 External examiners and the Research Supervisor will receive an honorarium as per university norms.

22.8 The soft copy of the synopsis and/or thesis shall be sent to the nominated adjudicators for evaluation. If any adjudicator requests a hard copy of the synopsis and/or thesis, it will also be provided to them.

22.9 Each adjudicator is expected to provide a detailed report on the thesis, in addition to the duly filled-in proforma for adjudication in the prescribed format. The adjudicator shall declare the results in the following format:



S.No	Status	Commends
a.	Recommended	Highly Commended
b.	Recommended	Commended
C.	Recommended	Minor Revision   before Viva-Voce
e.	Recommended	Major Revision
d.	Not Recommended	Rejected

In the case of 'a)' and 'b)' verdict, Viva-Voce shall be conducted.

22.10 In the case of minor revisions, these shall be executed and certified by the Supervisor before the conduct of the viva-voce. The viva-voce examiner shall also certify that the corrections have been carried out.

22.11 In the case of major revisions, the candidate shall resubmit the thesis based on the comments. The resubmitted thesis must be certified by the Supervisor and sent to the same adjudicator for re-evaluation. The final adjudication result shall be declared according to the above norms.

22.12 If an adjudicator who suggested major revisions expresses an inability to continue, the thesis shall be referred to a fourth adjudicator of the same category from among the panelists submitted earlier.

22.13 If one adjudicator does not recommend the thesis for the award of the degree, a fourth examiner of the same category shall be nominated for adjudication. The final result shall be declared based on the decision of the fourth examiner, which shall be final.

22.14 If both adjudicators do not recommend the thesis, the Ph.D. thesis will be rejected, and the registration will be cancelled.

22.15 Upon completion of the adjudication process, the University shall declare the results. Once the declaration is positive, the conduct of the viva-voce examination shall be notified by the concerned school with the approval of the TNOU authorities.



22.16 The Supervisor shall not correspond with the examiners under any circumstances while the thesis is under evaluation. Any violation will result in the faculty member being barred from guiding Ph.D. candidates and facing disciplinary action. Similarly, any attempt by the student to contact the examiners will lead to the withholding or withdrawal of the degree.

#### 23. THE PUBLIC VIVA-VOCE

23.1 Public Viva-Voce is an essential part of the adjudication process, and every candidate shall take the same. The Convener of the Board of Examiners shall consolidate the three evaluation reports of the Board of Examiners and the consolidated report shall be submitted to the University for taking further action including conduct of Public Viva-Voce. The Public Viva-Voce for a candidate will be decided based on the consolidated statement of the evaluation reports of the three examiners.

23.2 The public Viva-Voce examination shall be conducted by a board consisting of the Supervisor [who will also be the convenor of the Viva- Voce board] and the external examiner. The Co-Supervisor shall be the part of Viva-Voce board.

23.3 If the candidate passes the viva-voce examination, then the two-member board shall consolidate the recommendations for the award of Ph.D. Degree based on the reports of three examiners who adjudicated the thesis. The report of examiners on the viva voce examination shall be submitted.

23.4 Close or immediate relative of the Candidate/Supervisor shall NOT be appointed as External examinator examiners for conducting Viva-Voce Examination.

23.5 If a candidate is unable to successfully defend the thesis in the viva-voce examination, they are permitted to reappear once for the public viva-voce examination, which will be re-conducted after three months and within six months. No candidate shall be allowed to appear for the viva-voce examination more than twice.

23.6 A candidate who fails to satisfy the viva-voce board for the second time will have their thesis finally rejected. The viva-voce examination shall be conducted only on a working day (including during the vacation period) and within working hours.

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23.7 The Supervisor, in consultation with the Head of the School/Department and the External Examiner, shall fix the date and time for the viva-voce examination and issue a public notice.

23.8 The Viva-Voce shall be conducted either through face to face or virtual mode based on the situation subject to the approval of the Vice Chancellor.

23.9 The copy of the notice shall also be displayed in the School and Library notice board 15 days in advance of the date of the Viva-Voce examination. A copy of the thesis shall be made available to the public at the School/Library for perusal, at least 15 working days prior to the date of the Viva-Voce examination.

23.10 During the Viva-Voce examination, the scholar shall present the thesis within a limited period of time, indicating its relevance/importance, methodology, limitations and the findings/ discoveries /inventions etc. After the presentation by the Candidate, the External Examiner shall raise his/her questions, or the clarifications needed. Then the Examiner shall raise the questions raised by the other examiners seeking the responses from the Candidate. Then the audience may also be permitted to participate seeking clarifications. After the defenses by the Candidate, the report to this effect with recommendation may be forwarded.

23.11 If the candidate is successful in the public viva-voce, the Board of Public Viva-Voce Examiners shall recommend the candidate for the award of the degree based on:

- a) The reports of the examiners who adjudicated the thesis and
- b) The Evaluation of the candidate's performance in the Public Viva-Voce examination

23.12 The viva-voce examination shall be conducted with all the seriousness appropriate to its solemnity, and it shall not be treated as a mere formality. The candidate and the supervisor must not engage in any actions that could be perceived as attempting to influence the external examiner.

23.13 During the public viva-voce examination, the attendance of participants is compulsory. A minimum of 25 participants is required for the viva-voce examination.

23.14 The minutes of the viva-voce shall include a comprehensive report covering the candidate's performance, the answers provided by the candidate to questions raised by both Indian and foreign examiners in their reports, as well as questions from the viva-voce board of examiners and the audience.





2.15 Two copies of the evaluation reports, proceedings of the viva voce, recommendations of the examiners, list of participants as per the format, and the checklist shall be consolidated and submitted to the Director of Research, preferably on the same day. The Director of Research shall then submit one copy of the viva voce-related documents to the Controller of Examinations for processing the provisional and degree certificates as per TNOU norms. The prescribed fees for conducting the viva voce examination, postal charges, and fees for provisional and degree certificates shall be paid before the viva voce examination.

23.16 After the successful completion of the viva-voce examination, the University shall declare the results following approval from the Vice Chancellor, and the results shall be ratified by the Syndicate. The date of the viva-voce examination shall be considered the date of the award of the Ph.D. degree.

#### 24. RE-SUBMISSION OF THE THESIS

24.1 A Candidate whose thesis is Not Recommended, the Scholar may be permitted to resubmit it on a second occasion after a period of one year from the date of declaration of the first result with a specific statement from the Candidate and the Supervisor about the additional research work conducted and the revision done in the thesis.

24.2 Before re-submission of the thesis, the suitability for the same shall be decided by a three-member committee including Head of the School37 /Department, the Supervisor and one external member nominated by the Vice Chancellor. Based on the recommendation of the committee the re-submitted thesis shall be construed as fresh thesis for evaluation. The re-submitted thesis may be sent to the same Adjudicator for evaluation.

24.3 No Candidate shall be permitted to re-submit the thesis more than once.

#### 25. DEPOSITORY WITH INFLIBNET

25.1 Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the University shall submit an electronic copy of the Ph.D. thesis to INFLIBNET for hosting, making it accessible to all Higher Education Institutions (HEIs).





#### 26. PROVISIONAL CERTIFICATE

26.1 Prior to the actual award of the degree, the University will issue a provisional certificate stating that the degree has been awarded in accordance with the provisions of the respective UGC Regulations – 2016/2022.

#### 27. FORMAT OF DEGREE

27.1 Ph.D. for the Candidate will be awarded in the same subject of post- graduation which formed the basis for his/her admission to the Ph.D. programme.

27.2 In the case of the award of the Ph.D. degree for interdisciplinary research, the degree certificate shall bear both the subjects of the candidate's post-graduate degree and the discipline of the school /department in which the candidate has conducted his doctoral research mentioning them as "Interdisciplinary". The Degree will be issued in the "Subject in PG Degree - Subject of Doctoral research (Interdisciplinary).

27.3 The degree to be issued in the convocation shall contain the following details.

Name of the Faculty i.e., Faculty of Arts, Science, Social Science, Indian and Foreign Language, Extension Education, Education, and Management [in which the subject of study comes under based on eligible PG degree]

- Subject of Doctoral Research (as quoted in 1, & 2 above)
- Name of the Candidate
- Title of the thesis
- 🕪 Grade
- Any other updations as applicable from time to time.

#### 28. PUBLICATION OF THE THESIS

28.1 A thesis, after the Viva-Voce approval, can be published in full only with the permission of the University and the Vice Chancellor may grant permission for the publication under such conditions as it may impose.

28.2 Provided that a Candidate during the course of his/her research, may publish papers in standard research journals, as advised by his/her Supervisor, but the thesis as a whole shall not be published without obtaining permission from the Authorities.





28.3 Permission for publication of the thesis should be obtained from the authorities after the award of the Degree.

28.4 After the publication of thesis in the form of a book, five copies of the book have to be given to the University.

#### 29. RESEARCH COMMITTEES

#### 1. Research Council (RC):

The Research Council (RC) is an advisory body for all research-related activities of the Ph.D. program at Tamil Nadu Open University. It ensures that all cardinal principles of research ethics—such as autonomy, beneficence, non-malfeasance, and justice—are adhered to in the planning, conduct, and reporting of research. The committee will also review compliance with all regulatory requirements, applicable guidelines, and laws.

#### 2. Ethics Committee (EC):

The Ethics Committee at Tamil Nadu Open University is established as an advisory body to review research activities within the Ph.D. programmes. It evaluates research proposals involving human subjects submitted by faculty and research scholars, with the goal of safeguarding the dignity, rights, safety, and well-being of all current and potential research participants.

#### 3. Internal Research Advisory Committee (IRAC)

The Internal Research Advisory Committee has been constituted to look after the following functions:

Consolidate and provide information on faculty research projects funded by the University, UGC, MHRD, overseas agencies, etc., under various schemes.

Provide overall guidance to Ph.D. and M.Phil. programs.

Administer various grants and fellowships under schemes accepted by the University.

Grant academic approval for national and international conferences, symposia, and workshops organized by university departments.

Liaise with funding agencies to secure sponsorship and funds.

Suide and approve research proposals and provide ethical clearance.

Address any other relevant matters pertaining to research at TNOU.





#### 4. Committee on Publication Guidelines (COPG):

The primary objective of the Research & Publication Committee at TNOU is to explore new avenues of knowledge and foster a research culture within the University. The Committee will provide up-to-date support and guidance on research-related activities for both learners and faculty members. It encourages faculty members to undertake and pursue research, publish their findings, and participate in and present papers at conferences, seminars, symposia, and workshops, both online and offline.

#### 5. Institution Innovation Centre (IIC):

The primary mandate of the Innovation Centre is to encourage, inspire, and nurture young students by supporting them in working on new ideas. A select group of Ph.D. research scholars have been nominated as members of the Innovation Centre (IC) at Tamil Nadu Open University. Notwithstanding all that has been stated above, the Syndicate has the power to modify the aforementioned regulations under UGC Research Regulations 2022, as amended from time to time. Furthermore, the Vice Chancellor will decide whether to forward the research-related file from the school through either the Head of the School or the Faculty Chairperson in the respective discipline.

#### 6. UGC CARE Committee:

The committee, consisting of the Research Director, Coordinator, and two internal faculty members nominated by the Vice- Chancellor, will review the quality of publications in accordance with UGC/TNOU norms

#### 30. MISCELLANEOUS INSTRUCTIONS TO THE SCHOLARS

30.1 With regard to a Candidate proposing to work on the contribution of living author(s), a brief research proposal for conducting Ph.D. and a self-declaration duly certified by the Supervisor should be forwarded along with necessary permission obtained from the concerned author(s).

30.2 With regard to a Candidate proposing to work on public issue/Government policy/ functioning, it is mandatory that the Candidate shall obtain necessary permission from the authorities of the concerned Department/Institution on which the research was intended, before provisional registration.

30.3 The Proposals of Faculty Members/Scholars for getting research grants are subject to the approval of the Vice Chancellor.



30.4 Any legal dispute is to fall within the legal jurisdiction of Chennai only.

30.5 All the arbitration/disputes will be finalized by the Vice Chancellor only.

## 31. POWER TO MODIFY

Despite the aforementioned guidelines, the Syndicate of the University retains the authority to modify these norms periodically in accordance with UGC Research Regulations.

### **NO OBJECTION CERTIFICATE**

Date:

From

То

The Registrar Tamil Nadu Open University Annasalai, Saidapet Chennai - 15

Dear sir,

Sub: No Objection Certificate from the employer to register for Ph.D. Programme under Part-time mode at Tamil Nadu Open University - Reg.

This is to certify that Mr. / Ms. .....on regular basis form .....on regular basis form ......in our Organization / Institution / Industry and he/she is interested in pursuing Ph.D. Degree Programme atTamil Nadu Open University under Part-time mode

We do not have any objection to him / her pursuing Ph.D. Degree Programme under Part-time mode at Tamil Nadu Open University. The Organization is willing to depute the employee to Tamil Nadu Open University as and when he/she undertakes course work and other related work.

> Signature and Seal of the Concerned Authority





(To be filled by co-supervisor (applicable for Part-time and Interdisciplinary research)

1.	Name of the Applicant					
2.	Name of the co-supervisor					
3.	Date of Birth & Age					
4.	Designation & Place of	Work				
5.	Contact Address with P /Mobile/E-mail	hone				
6.	Qualifications					
	Name of Degree	Speci	alization (Major)	College Unive		Month & Year of passing & Class
_			1			
7.	Title of the Ph.D. thesis			·		
8.	No. of research papers indexed journals(enclos					
9.	No. of books published, contributed (enclose lis		d chapters			
10.	. Total research experience (enclose deta		close details)	Years	•	Month:
11.	Positions held:					
	Name of Institution			From		То
	Name of Institution					
	Name of Institution					
12.	2. Subject / Discipline in which propose to guide Ph.D. Scholars					
13.	Whether already recong if so, give details	nized a	as Ph.D. guide by ar	ıy		
14.	4. Total No. of candidates registered at pres as co-supervisor at Tamil Nadu Open Unv			er you		

Signature of the Head of Institution





#### SCHOOL / DEPARTMENT OF.....

#### MINUTES OF THE FIRST RESEARCH ADVISORY COMMITTEE MEETING

The following members were present

1.	(Supervisor & Convener)
2.	(Co-Supervisor, if applicable)
3.	Member) Expert
4.	(Member) Expert

Course Code	Course Title	Credits	e-Course/Elective/ Special Elective

Number of course works as applicable to the scholars

**Expert Member** (Signature with Name and Date, Adddress /Seal)

Co-Supervisor

(Signature with Name/Date,Adddress /Seal)

**Expert Member** (Signature with Name and Date, Adddress /Seal)

**Supervisor** (Signature with Name/Date, Adddress /Seal)





#### SCHOOL / DEPARTMENT OF.....

Date:

#### MINUTES OF THE SECOND RESEARCH ADVISORY COMMITTEE MEETING FOR CONFIRMATION OF PROVISIONAL REGISTRATION

The Research Advisory committee Meeting of the Ph.D. Scholar, Mr. / Ms. XXXXXXXXXXXXXXXX A [Roll No. Ph.D. / 2024XXYY001 / Part-Time] was held on at ... a.m./p.m. in the Department of History.

The following members were present:

Dr. X	(Supervisor & Convener)
Dr. Y	(Co-Supervisor, if applicable)
Dr. Z	(Member) Expert
Dr. A	(Member) Expert

Mr. / Ms. XXXX A has successfully completed the following course works recommended by the Research Advisory Committee. He/She has obtained the following grades in the course work.

SI. No	Course Code	Course Title	Credits	Category	Grade/Marks		
1.							
2.							
3.							
4.							
	CGPA						
Com	Comprehensive Exmaination: Pass / Fail *						

# CoE signed result sheet of the course works duly attested by the Supervisor with seal should be enclosed along with this.

The committee also evaluated the research work carried out by the scholar and satisfied/not satisfied with the performance of the scholar. Hence, the Committee recommends / does not recommend the confirmation of provisional registration of the scholar in the school of Science (Department of Chemistry) and permits/does not permit the scholar to proceed with his/her research work.

**Expert Member** (Signature with Name and Date, Adddress /Seal) **Expert Member** (Signature with Name and Date, Adddress /Seal)

**Co-Supervisor** (Signature with Name/Date and Seal)

**Supervisor** (Signature with Name/Date and Seal)

\*Strike off whichever is not applicable





#### SCHOOL / DEPARTMENT OF.....

Date:

### MINUTES OF THE THIRD RESEARCH ADVISORY COMMITTEE MEETING FOR SUBMISSION OF SYNOPSIS

Co-Supervisor	Supervisor
<b>Expert Member</b> (Signature with Name and Date, Adddress /Seal)	<b>Expert Member</b> (Signature with Name and Date, Adddress /Seal)
The Committee is satisfied with the research perfo research work and approve the Synopsis Submissio of Indian and Foreign Examiners	
The scholar has the following publications in the li 1(Accepted 2(Accepted It is also certified that the paper/papers mentioned the paper/papers is/are relevant to the Ph.D. work of	d/Published) d/Published) I above are within the scope of the journals and
Mr./Msand the content of the dr synopsis presentation onto The attendees list is enclosed herewith. The schola Journals (SCI/UGC-CARE listed) from his/her resea	o the faculty members and research scholars. ar has publications in the
Research Advisory Committee critically reviewed th	
4.	(Member) Expert
3.	(Member) Expert
2.	(Co-Supervisor, if applicable)
The following members were present: 1.	(Supervisor & Convener)
、 <i>,</i>	m. in the Department of
The Research Advisory committee Meeting of the F	Ph.D. Scholar, Mr. / Ms

(Signature with Name/Date, Adddress /Seal)

(Signature with Name/Date,Adddress /Seal)



Examination Passed	Name of the School/College/Institution/ Board/ University	Subjects	Month&Year of Passing	Class/Grade/ Percentage of Marks
10 <sup>th</sup> /11 <sup>th</sup>				
P.U.C./+2				
Bachelor's Degree				
Master's Degree(1)				
Master's Degree(2)*				
M.phil.				

#### 15. Professional / Teaching Experience:

No	Designation	Institution	Duration	
			From	То
Ι				

\*for the details of Education & Special Education

16. Do you have Research Experience as JRF/SRF/URF/TRF/Others, if yes, please specify

No	Type of Experience	Funding Agency	Duration		Duration		Theme of Research
			From	То			

17.	Whether the applicant has published articles/research paper/books/? If so, enclose Xerox copies of them with the application.	
18.	Awards, Medals, Prizes and Honours achieved by the Applicant.	
19.	Broad Theme / Title of the proposed Research Topic(In block letters)	
20.	Any other particulars the applicant would like to present for the consideration of the authorities in regard to admission.	

21. Part time candidates shall submit the application through proper channel. This portion shall be filled by the employer

The applicantwho has submitted application for ad	dmission to Ph.D Programme	
in the Tamil Nadu Open University has been working in this organisation viz		
in the post on temporary / permanant basis with effect form .		
There is no objection in doing Ph.D. Programme in Tamil Nadu Open University.		
Date:	Signature of the forwarding Officer with seal	

22. List of attested copies of documents enclosed: (Pl. Tick)

i Community Certificate:	No ii	i. Special Category Proof:	Yes No
iii. Proof of Educational Qualification: 🗌 Yes 🗌	No iv	v. Proof for Date of Birth:	Yes No
v. Proof of Experience:	No iv	v. Proof RF/NET:	Yes No
23. List of original doucuments enclosed: i Work experience certificate (where applicable):	Yes	No	

24.

#### **DECLARATION BY THE APPLICANT**

I hereby declare that i have read and understood the conditions of eligibility for the programme for hich I seek admission I fulfill the minimum eligibility criteria and have provided necessary information in this regard. In the event of any information being found incorrect or misleading after admission. my candidature shall be liable to cancellation by the University at any time and I shall not be entitled to claim refund of any fee paid by me to the University. I declare that I will abide by the rules and regulations of Ph.D.

Date:

Signature of the Applicant



# தமிழ்நாடு திறந்தநிலைப் பல்கலைக்கழகம் TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15



# FORMAT FOR INTER-DISCIPLINARY RESEARCH PROPOSAL

(This proforma duly filled in should be forwarded along with the Minutes of the School)

Name	
Academic qualification	
Age & Date of Birth	
Occupation (if any)/ Designation	
Duration of Employment	
The subject in which the candidate has qualified for the Master's Degree	
The proposed discipline in which the candidate intends to work for Ph.D.	
The proposed department ( with address) where the candidate intends to work for Ph.D.	
The theme of proposed research (in not more than 500 words)	
Whether the proposed Ph.D. theme is partly, directly or indirectly related to the branch of knowledge in which the candidate has qualified for his Master's Degree?	
If so , briefly describe (in not more than 500 words) the input from the two disciplines to the proposed area of research for Ph.D.	
Details of publications, if any, bearing on inter-disciplinary research, pertaining to the topic. If yes, Furnish the details	
Comments of the supervisor under whom interi-disciplinary research is proposed	
Acceptance letter in a coguide from another expert	

Signature of the Applicant

Signature of the Research Supervisor (with Date & Seal)

Signature of the Co-Supervisor (with Date & Seal) Signature of the Director of the School /Faulty Chairperson (with Date & Seal)

**TNOU Research Regulations - 2024** 

# TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15

தமிழ்நாடு திறந்தநிலைப் பல்கலைக்கழகம்

# PH.D. REGISTRATION FORM

For DD / Challan Section use

To be filled by the scholar

Amount in Rs.

Date of Payment

DD / Challan No.

Branch

10.

Name of the Bank/

In English: Name of the Scholar(as entered in the degree 1. certificate in Capital Letter) In Tamil: 2. Name of the Subject 3. Mode(Part-time or Full time) Enrolment No. 4. Name of Parents Father: 5. Mother: 6. Date of Birth Male / Female Sex 7. Community: GT/BC/MBC/SC/ST Nationality 8. Religion Hindu/Muslim/Christian /Others Phone/Alternate Phone Number: 9. Permanent Address Mobile No:





Affix Recent Color Photo

If Part-time, furnish Designation with office Address of the College/Office Wherein applicant is working

WhatsApp No:

Email: Aadhar No:

11.	. Qualification Particulars			PG		M.Phil.
	i. Subject:					
	ii. Register No:					
	iii. Month & year of Passing:					
	iv. College/University:					
12.	Whether the Scholar is undergoing any other c Tamilnadu Open University or any other Univer					
13.	Whether the Scholar has obtained an intimatic to do Research in Tamilnadu Open University	on letter				
14.	Whether the Scholar has obtained an sponsors funding agencies?	ship from				
15.	Date of Joining in the School of Tamil Nadu Open University					
16.	. Topic of the Research (Broad field)					
17.	. Signature of the Scholar					
18.	a)Name and designation of the Supervisor					
	b)Date of communcation in which Supervisc has been recognized as Ph.D. Supervisor	or				
	c)Subject					
19.	Signature of the Supervisor with seal Phone N Mobile No.	0./				
20.	. Scholars currently doing research under the supervisor:					
SI No.	Name of the Scholar	Month& \ Registr		Full-time or Part	t-time	Fellowship if any

21.	Signature of the C0-Supervisor[Interdisciplinary] with address seal	
22.	If the applicant is working, Signature of the Head of the Institution with seal or attach NOC	
23.	Signature of the Head of the School where Research will be carried out with seal	

#### The following enclosures must be attached

- 1. Demand Draft/Challan for Rs.1000/- in favour of 'The Register, Tamilnadu Open University payable at Chennai. (If already paid at the time of admission, need not to pay.)
- 2. Copies of PG, M.Phil. Degree/Mark Certificates, Transfer Certificate & Community Certificate.
- 3. Copies of the Selection Intimation Letter recived from Tamil Nadu Open University to do Ph.D. Research.
- 4. Copy of Joining Report.
- 5. If Part-Time, Copy of the No Objection Certificate(NOC) from the Employer



# தமிழ்நாடு திறந்தநிலைப் பல்கலைக்கழகம் TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15



## PROGRESS REPORT OF THE Ph.D. PROGRAMME

(To be submitted once in six months by both full-time candidates part-time candidates)

- 1. The progresss reports shall be submitted by the candidate in quadruplicate, to the supervisor accompained by a report by the candidate about the work carried out during the period of this report (in about 300 words) duly signed by the candidate and countersigned by the supervisor
- 2. The supervisor shall fill his/her part, sign it and get it countersigned by the Head of the School of this supervisor
- 3. The first copy to be retained by the supervisor for placing before the doctoral committee and subsequent office record, second copy shall be sent to the research section through the HOS, the third copy shall be sent to the parent institution wherever applicable and the fourth copy to the scholar

<ol> <li>Particulars about the candidate         <ul> <li>(a) Name</li> <li>(b) Designation                 (where applicable)</li> <li>(c) Institution where employed                 (if applicable)</li>                 (d) Period of the Report</ul></li> </ol>	
<ul> <li>2. Registration Details <ul> <li>(a) Category of registration</li> <li>(b) Date of provisional registration with university reference</li> <li>(c) Whether the provisional registration has been confirmed <ul> <li>(if yes, give reference)</li> </ul> </li> </ul></li></ul>	: Full-time / Part-time(Internal)/Part-time(External) : : Yes / No
<ul> <li>3. Registration Details</li> <li>[i] Supervisor <ul> <li>(a) Name</li> <li>(b) Designation</li> <li>(c) Institution where employed</li> </ul> </li> <li>[ii] Co-Supervisor <ul> <li>(a) Name</li> <li>(b) Designation</li> <li>(c) Institution where employed</li> </ul> </li> </ul>	
<ul> <li>4. Name of School Where research is conducted</li> <li>5. Area of work and tentative title of the proposed thesis</li> </ul>	:

6. Details of the progress	
(a) Whether the candidate's report in triplicate is enclosed?	ː Yes / No
(b) Whether any papers hav been published (if yes, furnish details)	:Yes / No
(c) Whether seminars/conferences attended?	:Yes / No
(d) Whether the prescribed course work has been completed? If yes, courses completed	: Yes / No
7. Whether the tuition fee is being paid	: Yes / No
Date:	Signature of the Candidate
<ul><li>8. Remarks of the supervisor</li><li>(a) Attendance</li><li>(b) Progress</li><li>(c) Expected time of completion</li></ul>	<ul> <li>Satisfactory/Not Satisfactory</li> <li>Satisfactory/Not Satisfactory</li> <li>:</li> </ul>
9. Whether the Supervisor agrees with the Scholar's report? (if yes, give details)	:

Signature of Co-Supervisor (with Date & Seal)	Signature of the Supervisor
(if applicable)	(with Date & Seal)
Date: Place:	Signature of the Director of th
	School/Faculty Chairperson
	(with Date & Seal)

#### Annexure-V



# தமிழ்நாடு <mark>திறந்தநிலைப் பல்கலைக்கழகம்</mark> TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15



## Ph.D. SYNOPSIS SUBMISSION FORM

Name & Place of Bank Application Demand Draft No./Challan No	Application Fee: Synopsis Submi	Rs 100/- ssion Fee: Rs.
Amount	For Full-time/Pa	rt-time candidates
Thesis Submission Fee: Rs		
Date of Payment		Passport Size Photo

1.	Name of the Candidate	In English: In Tamil:	
2.	Sex	Male / Fema	ale
3.	Age and Date of Birth		
4.	Name of Father or Guardian	In English: In Tamil:	
5.	Nationality	Religion	Community: SC/ST/MBC/BC/OC
6.	Address with Phone Number(in block letter to which communications should be sent)	Mobile: Whatsapp No:	
7.	Register number, month and year of passing the PG/M.Phil Degree Examination and name of the institution		
8.	Date, Month and Year of the convocation at which the degree was taken		
9.	The Month and Year in which the candidate was provisionally registered for the Ph.D. Degree and quote the number and date of this office communication registering for the Ph.D. Degree		

10.	The Number and date of this office comminication confirming the provisional Registration for the Ph.D. Degree	
11.	Enrolment No. & Mode (Part-time or Full-time)	
12.	Subject	
13.	Title of the Thesis(in block letters)	
14.	Name of the School in which the candidate is working for Ph.D. Degree and the name of the supervisor who supervises and diects his/her Research work	School: Supervisor:
15.	Signature of the Candidate	
16.	Signature of the Supervisor with Designation and Seal	Mobile:
		E-mail: Landline:
17.	Signature of the Co-Guide with Seal (if applicable)	
18.	Signature of the Head of the Department with Seal where the candidate is working for the Ph.D. Degree	
19.	Signature of the Director of Research with date and seal	
20.	Signature of the Head of the Institution/Registrar/ Director with date and seal	
21.	Date:	
	Station:	



# தமிழ்நாடு <mark>திறந்தநிலைப் பல்கலைக்கழகம்</mark> TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15



# CHECKLIST WHILE SUBMITTING Ph.D. SYNOPSIS

Name of the Scholar	Subject/School	Enrollment No.	Mode(FT/PT)	Date

S. No	Particulars( to be enclosed)	
1.	A coverning letter duly signed by the Supervisor and forwarded through Head of the School	
2.	Filled-in Application form for the submission of Synopsis along with details of fee paid	
3.	6 copies of Synopsis as per TNOU regulations	
4.	Soft copy of the Synopsis in CD - 1No. as per TNOU Regulations	
5.	A Panel of Nine Examiners(with correct postal address, e-mail, Mobile No. & Brief Bio-data) for evaluation/adjudication of Synopsis/Thesis in the prescribed format (sealed cover & strictly confidential) as per TNOU Regulations	
6.	Copy of Provisional Registration (student copy)	
7.	Original Minutes of the 1 <sup>st</sup> Doctoral Committee - Fixation of Broad Area of Research and Prescribed the Syllabus for Course work	
8.	If 1 <sup>st</sup> Doctoral Committee/Course work examinations is not conducted in time or vice-versa, aproof for ratification approval in the Academic Council/ Syndicate of TNOU (if applicable)	
9.	Original Minutes of the 2 <sup>nd</sup> Doctoral Committee Meeting - Approval of results of Course Work/Exact Topic of Research and Confirmation of Provisional Registration	
10.	Copy of Confirmation of Provisional Registration (student copy)	
11.	Original Minutes of the 3rd Doctoral Committee Meeting or Original Minutes of Doctoral Committee Meeting in which an approval of pre-synopsis presentation has been recorded to submit the Synopsis	
12.	Minutes of any other Doctoral Committee Meetings (if any)	
13.	Details of the Papers published(National / International reputed journals)	

14.	Details of the Papers presented (National / International Conference , Seminar etc.)	
15.	Copies of all Six-month Progress Reports of the scholar during the research period	
16.	Whether Synopsis submitted within yje prescribed research duration	
17.	DC recommendation for change of Topic of Research (if any)	
18.	Extension for duration of research obtained (copy of Extension order enclosed) -(if applicable)	
19.	Copy of approval to submit the Synopsis/thesis in Tamil from Supervisor. Authorities (if applicable)	
20.	Attendance (certified by the Supervisor & Head) of the Scholar during the Research period	
21.	Proof for payment of Tuition fee for prescribed research duration, Extension duration and Synopsis submission fee	
22.	No-Due Certificate from the concerned School, Controller of Examinations, Finance officer and Library	
23.	NOC/Permission of the Research Scheme sponsorer of Govt./Private sector utilise the datas selected for the dissertation work (if any)	
24.	Any other relevant particulars	

Signature of Co-Supervisor (with Date & Seal) (if applicable) (Checked and found correct) Signature of Supervisor (with Date & Seal) Signature of Director of the School Faculty Chairperson (with Date & Seal) (Model for wrapper and inside title page of Synopsis / Thesis of the Ph.D. work)

#### Title of the Synopsis / Thesis

Synopsis / thesis submitted to Tamil Nadu Open University in Partial Fulfilment for the award of the Degree of

DOCTOR OF PHILOSOPHY

In

-----

(Name of the Discipline)

By

.....

(Name of the Candidate)

Enrollment No:

#### Under the Supervision of Supervisor

(Name of the Research Supervisor)

.....

(Name of the School)



தமிழ்நாடு திறந்தநிலைப் பல்கலைக்கழகம் TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15 TAMIL NADU, INDIA



(Month and Year)

[Note: The items in Italics as such are not to be scripted, but only the appropriate details pertaining to them needto be in the space provided]

#### **Annexure-VIII**



# தமிழ்நாடு <mark>திறந்தநிலைப் பல்கலைக்கழகம்</mark> TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15



## Ph.D. SYNOPSIS SUBMISSION FORM

Name & Place of Bank Application Demand Draft No./Challan No	Application Fee: Rs 100/- Synopsis Submission Fee: Rs.	
Amount	For Full-time/Pa	rt-time candidates
Thesis Submission Fee: Rs		
Date of Payment		Passport Size Photo

1.	Name of the Candidate	In English: In Tamil:	
2.	Sex	Male / Fema	ale
3.	Age and Date of Birth		
4.	Name of Father or Guardian	In English: In Tamil:	
5.	Nationality	Religion	Community: SC/ST/MBC/BC/OC
6.	Address with Phone Number(in block letter to which communications should be sent)	Mobile: Whatsapp No:	
7.	Register number, month and year of passing the PG/M.Phil Degree Examination and name of the institution		
8.	Date, Month and Year of the convocation at which the degree was taken		
9.	The Month and Year in which the candidate was provisionally registered for the Ph.D. Degree and quote the number and date of this office communication registering for the Ph.D. Degree		

10.	The Number and date of this office comminication confirming the provisional Registration for the Ph.D. Degree	
11.	Enrolment No. & Mode (Part-time or Full-time)	
12.	Subject	
13.	Title of the Thesis(in block letters)	
14.	Date of Submission of Synopsis	
15.	Name of the School in which the candidate is working for Ph.D. Degree and the name of the supervisor who supervises and diects his/her Research work	School: Supervisor:
16.	Signature of the Candidate	
17.	Signature of the Supervisor with Designation and Seal	Mobile:
		E-mail: Landline:
18.	Signature of the Co-Guide with Seal (if applicable)	
19.	Signature of the Head of the Department with Seal where the candidate is working for the Ph.D. Degree	
20.	Signature of the Director of Research with date and seal	
20.	Signature of the Head of the Institution/Registrar/ Director with date and seal	
21.	Date: Station:	



# தமிழ்நாடு திறந்தநிலைப் பல்கலைக்கழகம் TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15



## CHECKLIST WHILE SUBMITTING Ph.D. SYNOPSIS

Name of the Scholar	Subject/School	Enrollment No.	Mode(FT/PT)	Date of Submission

S. No	Particulars( to be enclosed)	Yes/No	Pg.No.
1.	A covered letter duly signed by the Supervisor and Forwarded through Director of the School/ Faculty Chairman		
2.	Filled-in Application from for the submission of Thesis		
3.	Five hard copies of Thesis as per TNOU Ph.D. Regulations		
4.	Soft copy of the Thesis in CD-1No. asper Ph.D. Regulations		
5.	Plagiarism Check Certificate by Librarian/ Asst.Librarian		
6.	Declaration by the Candidate for Plagiarism		
7.	Certificate by the Supervisor for Plagiarism		
8.	Certificate of Genuineness of the Publication		
9.	Certificate of Genuineness of the Presentation		
10.	Whether Thesis submitted within the prescribed research duration		
11.	If No, Extension for the duration of research obtained ( Copy of Extension order is to enclosed)-(if applicable)		
12.	Proof for payment of Thesis – Details should be enclosed along with prescribed fee paid (if applicable)		
13.	If resubmission of Thesis – Detail should be enclosed along with prescribed fee paid (if applicable)		
14.	Any other relevant particulars		

(Checked and found correct) Signature of Supervisor (with Date & Seal)



தமிழ்நாடு திறந்தநிலைப் பல்கலைக்கழகம் TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15



## DECLARATION

I declare that the thesis entitled		
Submitted by me for the degree of Doctor of Philos	sophy (Ph.D.) is the re	ecord of work carried out by me
during the period from	to	under the
guidance of	and h	has not formed the basis for the
award of any Degree, Diploma, Associateship and	Fellowship, Titles in	this University or any other or
similar institution of Higher Learning.		

Signature of the Candidate (with Date )





# CERTIFICATE FROM THE RESEARCH SUPERVISOR

I certify that the thesis entitled	Submitted for the
degree of Doctor of Philosophy (Ph.D.) by Mr./Ms	is the record of
Research work carried out by him/her during the period from	to
under my guidance and supervision, and that this worl	k has not formed the
basis for the award any Degree, Diploma, Associateship and Fellowship, o	r other Titles in this
University or any other or institution of Higher Learning	

Signature of the Research Supervisor (with Date & Seal )





## PLAGAIARISM CHECK CERTIFICATE

t is certified that the Ph.D. Thesis entitled
submitted by the candidate Mr./Ms.
from the school of
in the subject under
he supervision of
s verified for plagiarism through the software and thepercentage of plagiarism is found to be%.
Hence, the dissertation is within/not within the permissible limits of plagiarism rules

Signature of the Librarian / Asst. Librarian (with Seal & Date)

#### Annexure-XIII





## DECLARTION BY THE CANDIDATE FOR PLAGIARISM

I hereby declare that the Thesis entitled
submitted by me
for the award of Ph.D. degree in copied
From any thesis /books/ any other copy right materials. I am aware that, any deviation if found later also,
I am liable form punishment under the UGC Rules and Regulations

Signature of the Candidate (with Seal & Date)





## CERTIFICATE BY THE RESEARCH SUPERVISOR FOR PLAGIARISM

I hereby declare that the candidate Mr./Ms	has carried out the Ph.D.
programme under my supervision during the period from	to and
The thesis entitled	
submitted by him / her is verified and it is not plagiarized or copied from	any other dissertation / Books /
any other copyright materials	

Signature of the Supervisor (with Seal & Date)





#### **CERTIFICATE OF GENUIENESS OF THE PRESENTATION**

This is to certify that the Ph.D	. candidate Mr./Ms.			working under my
supervision has presented his	s/her research pape	r entitled		
in a National/International co	nference/seminar n	amed		
held at	(Institution)	On	(date)	The contents of the
presentation incorporate part	of the results prese	nted in his/h	er thesis.	

Signature of the Scholar

Signature of the research Supervisor (with Date & Seal)





#### CERTIFICATE OF GENUIENESS OF THE PUBLICATION

This is to certify that the Ph.D. candida	te Mr./Ms	working under my
supervision has published a research a	article in the refereed journal named	
		With Vol. No.
page nos	and year of publication	published
by	The contents of the publication incorp	oorate part of the results
presented in his /her thesis		

Signature of the Scholar

Signature of the research Supervisor (with Date & Seal)



# தமிழ்நாடு திறந்தநிலைப் பல்கலைக்கழகம் TAMIL NADU OPEN UNIVERSITY, SAIDAPET, CHENNAI - 15



#### NO DUE CERTIFICATE

Name of the Scholar	Enrollment No.	
---------------------	----------------	--

Program	Ph.D.
Mode (Full – Time/ Part – Time)	
Subject	
School	
Remarks (If any)	

Since, the above said Ph.D. Scholar has cleared all dues, he/she is eligible to submit the Synopsis/Thesis andfurther action may be taken.

 Signature of the Research Supervisor
 Signature of the Head of the School

 Signature of the Controller of Examinations
 Signature of the Finance Officer

 Signature of the Librarian/Asst. Librarian



# Tamil Nadu Open University தமிழ்நாடு திறந்தநிலை பல்கலைக்கழகம்

No 577, Anna Salai, Saidapet,Chennai - 600 015. Tamilnadu





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